Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/21 (09-06) Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL **FORM** 

(to be used for all correspondence after initial filing)

**Application Number** 10/056,956 January 25, 2002 Filing Date First Named Inventor Eric McKinlay 2153 Art Unit Reilly, Sean M. **Examiner Name** 10005.001500 Attorney Docket Number

Total Number of Pages in This Submiss	ion 6 **	Attorney Docket No	ımber	10005.001500
	ENCLO	SURES (check all tha	t apply)	
Fee Transmittal Form	☐ Drawing(s)			After Allowance Communication to TC
Fee Attached	Licensing	-related Papers		Appeal Communication to Board of Appeals and Interferences
Amendment / Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
After Final		Convert to a al Application		Proprietary Information
Affidavits/declaration(s)		Attorney, Revocation of Correspondence Add	ress	Status Letter
Extension of Time Request	Terminal Disclaimer			Other Enclosure(s) (please identify below):
Express Abandonment Request	Request for Refund  CD, Number of CD(s)			Form PTO SB-08ab; Copy of cited refs.: A5- A7 documents; Return Receipt Poscard
Information Disclosure Statement	☐ Lar	ndscape Table on CD	1	
Certified Copy of Priority Document(s)	Remarks	** does not inclu	de pages	of cited references
Reply to Missing Parts/ Incomplete Application				
Reply to Missing Parts under 37 CFR1.52 or 1.53				
SIGI	NATURE OF	APPLICANT, ATTOI	RNEY, OF	RAGENT
Firm	OKAMOTO & BENEDICTO LLP			
Signature	Paice Br			
Printed Name	Patrick D. Benedicto			
Date October 13, 2006			Reg. No.	40,909
	CERTIFICA	TE OF TRANSMISS	ION/MAIL	ING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450 on the date shown below.

Signature Patrick D. Benedicto October 13, 2006 Typed or printed name Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Eric McKinlay, et al.

Serial No.: 10/056,956

Filed: January 25, 2002

Title: System, Method and Computer Program Product for Initiating a Software

Download

Examiner: Reilly, Sean M.

Art Unit: 2153

Atty. Dkt. No.: 10005.001500

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior
application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the
references cited therein are hereby listed but are not required to be provided in
this application under 37 CFR § 1.98(d).

under the section indicated below. 37 CFR §1.97(b). П Ø 37 CFR §1.97(c). If so, then either: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: 冈 each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure П Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement. OR  $\Box$ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p). 37 CFR § 1.97(d). If so, then both: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement. **AND** Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted, Eric McKinlay, et al.

Dated: October 13, 2006

By: Pava Br

Patrick D. Benedicto, Reg No. 40,909 Attorney For Applicant(s) OKAMOTO & BENEDICTO LLP P.O. Box 641330

San Jose, CA 95164-1330 Tel.: (408) 436-2110

Fax: (408) 436-2114

	CERTIFICATE OF M	AILING			
I hereby certify that this correspondence, including the enclosures identified herein, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
Signature:	Pá.c.m				
Typed or Printed Name	Patrick D. Benedicto	Dated:	10/13/2006		

PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Under the rape.....

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2

Complete if Known					
Application Number	10/056,956				
Filing Date	January 25, 2002				
First Named Inventor	Eric McKinlay				
Art Unit	2153				
Examiner Name	Reilly, Sean M.				
Attorney Docket Number	10005.001500				

U.S. PATENT DOCUMENTS							
Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages Columns Lines Where Relevan		
Examiner Cite Initials • No.1	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-	·				
		US-					
		US-					
		US-					
		US-					
		US-	<u> </u>				
		US-					
		US-					
	1	US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
	T	US-			<del></del>		

_	FOREIGN PATENT DOCUMENTS							
Superiors Cite	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,			
Examiner Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	te Applicant of Cited			T <sup>6</sup>	
	<b>A</b> 5	WO 01/11466 A2	02/15/2001	Ethos Technologies, Inc.				
-	<del> </del>							

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitu	te for form 1449	В/РТО			Complete if Known	
			01.001155	Application Number	10/056,956	
INFORMATION DISCLOSURE				Filing Date	January 25, 2002	
STATEMENT BY APPLICANT		First Named Inventor	Eric McKinlay			
				Art Unit	2153	
(Use as many sheets as necessary)		Examiner Name	Reilly, Sean M.			
Sheet	2	of	2	Attorney Docket Number	10005.001500	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
	A6	XP-002399435, C. STINSON AND C. SIECHERT, Handboek Windows 2000 Professional, Jan. 1, 2000, pages 338-340,			
	A7	Supplementary European Search Report for Application No. EP 02 77 0604 (2 sheets).			
-					

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.